

PATENTS  
CF-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg  
Application No.: 09/843,093 Confirmation No.: 8423  
Filing Date : April 26, 2001  
For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA  
Group Art Unit : 3624  
Examiner : Richard C. Weisberger

Mail Stop Amendment  
Hon. Commissioner for Patents New York, New York  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV 620767478 US

Date of Deposit: February 7, 2006

I hereby certify that this certification and the  
following papers and fees:

1. Transmittal Letter (in duplicate);
2. Reply to Final Office Action; and
3. Return postcard

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under  
37 C.F.R. § 1.10 on the date indicated above and are  
addressed to Mail Stop Amendment, Hon. Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

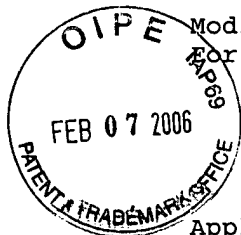
Name: Isatta B. Smith

02-09-06

HF/3624  
PATENTS \$

Rev. 12/04

EXPRESS MAIL NO. EV 620767478 US

Modified PTO 1083  
For Other Than A Small Entity

Docket No. CF-17

Applicant : Phillip M. Ginsberg

Application No.: 09/843,093

Confirmation No.: 8423

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For : METHODS AND SYSTEMS FOR ACCESSING FINANCIAL PROSPECTUS DATA

Group Art Unit : 3624

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Mail Stop Amendment  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450TRANSMITTAL LETTER

Sir:

Transmitted herewith: [ ] a Preliminary Amendment;  
 [X] a Reply to Final Office Action; [ ] a Supplemental Amendment;  
 [ ] a substitute Specification; [ ] a Supplemental Information Disclosure  
 Statement to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS AND/OR PAGES

[X] A fee for additional claims or pages is not required.

[ ] A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDITIONAL
TOTAL CLAIMS	17	-	20 *	=	0	x	\$50	=	\$ 0.00
INDEPENDENT CLAIMS	3	-	3 **	=	0	x	\$200	=	\$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+	\$360	=	\$ 0.00

\* If less than 20, insert 20.

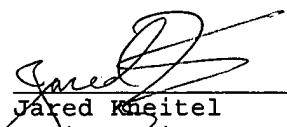
\*\* If less than 3, insert 3.

TOTAL \$ 0.00

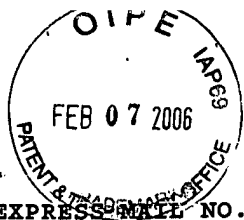
- ☐ As a result of the amendment submitted herewith, this application now includes excess pages beyond those previously paid for. The number of additional groups of 50 excess pages resulting from this amendment is \_\_\_\_\_ x \$250 = \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ in payment of the fee for additional claims and/or pages is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 000741-0017. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☒ The following extension fee is applicable to the Response filed herewith:  
☒ \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,020.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check in the amount of ☐ \$120.00 ☐ \$450.00 ☐ \$1,020.00 ☐ \$1,590.00 ☐ \$2,160.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order no. 000741-0017. A duplicate copy of this transmittal letter is transmitted herewith.
- ☒ Please charge the ☒ \$120.00 ☐ \$450.00 ☐ \$1,020.00 ☐ \$1,590.00 ☐ \$2,160.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

  
Jared Kneitel  
Registration No. 51,178  
Agent for Applicant

Fish & Neave IP Group  
Ropes & Gray LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000



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PATENT APPLICATION

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New York, New York 10020  
February 7, 2006

Mail Stop AMENDMENT  
Hon. Commissioner for Patents  
P.O. BOX 1450  
Arlington, VA 22313-1450

REPLY TO FINAL OFFICE ACTION

Sir:

In reply to the Final Office Action mailed October 7,  
2005, please amend the above-identified patent application as  
follows:

Amendments to the Claims are reflected in the listing  
of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

02/10/2006 HTECKLU1 00000049 061075 09843093

01 FC:1251 120.00 DA